

# Execution Only Stockbroking Charges

Charges as at 6<sup>th</sup> July 2016

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## DEALING COMMISSIONS

Online	Deals in previous month	Charge per deal	<ul style="list-style-type: none"> <li>✓ UK Equities</li> <li>✓ International Equities</li> <li>✓ Government Bonds</li> <li>✓ Corporate Bonds</li> <li>✓ PIBS</li> <li>✓ Investment Trusts</li> <li>✓ ETFs</li> <li>✓ ETCs</li> </ul>
	0-9	£9.95	
	10 or more	£4.95	
International dealing incurs a further additional spread / foreign exchange charge of 1.00%			
Telephone	£29.95 per trade		
Collectives	<p>Dealing commission of £4.95 (online) and £29.95 (telephone).</p> <p>For funds in their universe there is a custody charge of 0.20% per annum (maximum £50 per quarter). The typical AMC for these funds is 0.75%. For funds outside of the universe there is no custody charge but the typical AMC is 1.50%.</p> <p>Majority of funds have initial fee reduced to 0%.</p> <p>Only clean funds sold so no annual rebate</p>		<ul style="list-style-type: none"> <li>✓ Unit Trusts, OEICs</li> </ul>
Where paper application and pre-funding the cost is required (e.g. structured products)	£100 per trade		<ul style="list-style-type: none"> <li>✓ Warrants</li> <li>✓ Covered Warrants</li> </ul>
Regular Investment	£1.50 per deal		<ul style="list-style-type: none"> <li>✓ Unit Trusts, OEICs</li> <li>✓ FTSE 350 Shares</li> <li>✓ ETFs</li> <li>✓ ETCs</li> <li>✓ Investment Trusts</li> </ul>

## FEES

Dealing Account & ISAs	Nil
SIPPs	<p><b>Quarterly Custody Charge:</b></p> <p>SIPPs valued at £10,000 and below = £5 per quarter</p> <p>SIPPs valued above £10,000 and up to £20,000 = £15 per quarter</p> <p>SIPPs valued above £20,000 = £25 per quarter</p>

## OTHER CHARGES

Transfer Out of Investments	£25 per holding
CHAPS payment	£25 + VAT
Disinvestment, if they need to sell some of the holdings to cover charges	£29.95 per holding
Dividends or corporate action payments – foreign exchange charge	0.50%

## CURRENT PROMOTIONS / DISCOUNTS

- Receive up to £500 towards transfer costs (£35 per line of stock for in specie transfers and up to £100 for exit fees) when transferring a SIPP, ISA or Dealing account of at least £20,000 and the transferred assets are kept for at least 12 months.
- Market update following the EU Referendum
- All dealing charges for Euro STOXX 50 shares waived between 10<sup>th</sup> June and 10<sup>th</sup> July 2016-07-06
- Follow AJ Bell Youinvest on twitter, retweet a post and be entered into a draw for 2 Lancashire T20 tickets for a game of your choice
- Recommend a friend and receive £100 if they open a SIPP or ISA with a value of £10,000+
- Open and fund a SIPP with £7,500 between 23<sup>rd</sup> May and 15<sup>th</sup> August 2016 and receive free National Trust membership
- Latest investor magazine free to download
- AJ Bell sponsoring the London Triathlon

## MOBILE FACILITES

Mobile dealing app for iPhone, iPad and Android devices

### DEALING COMMISSIONS

Online	£12.50 per trade  All funds are 100% clean and so do not pay a rebate. Initial charges are set at 0% in a number of cases. Typical AMC of 0.75%	<ul style="list-style-type: none"> <li>✓ UK Equities</li> <li>✓ Investment Trusts</li> <li>✓ ETFs</li> <li>✓ Bonds</li> <li>✓ Gilts</li> <li>✓ Unit Trusts, OEICs</li> </ul>
Telephone	£40 per trade  All funds are 100% clean and so do not pay a rebate. Initial charges are set at 0% in a number of cases. Typical AMC of 0.75%	<ul style="list-style-type: none"> <li>✓ UK Equities</li> <li>✓ International Equities</li> <li>✓ Investment Trusts</li> <li>✓ ETFs</li> <li>✓ Bonds</li> <li>✓ Gilts</li> <li>✓ Unit Trusts, OEICs</li> </ul>
Regular Investment	Regular Dealing by Direct Debit = £5 per trade Online Monthly Dealing by Direct Debit = £1.50 per trade	<ul style="list-style-type: none"> <li>✓ UK Equities</li> <li>✓ Investment Trusts</li> <li>✓ ETFs</li> <li>✓ Bonds</li> <li>✓ Gilts</li> <li>✓ Unit Trusts, OEICs</li> </ul>
Dividend Reinvestment	£5 per transaction	

### FEES

Dealing Account	<b>Monthly Administration Fee: £7.50</b>										
ISA	<b>Monthly Administration Fee: £7.50</b> <b>Void ISA: £100 + VAT</b> <b>Repair ISA: £100 + VAT</b>										
Junior ISA	<b>Monthly Administration Fee: £3.33</b>										
Select SIPP	<b>Monthly Administration Fee: £15 + VAT</b>										
Child SIPP	<b>Monthly Administration Fee: £6.65 + VAT</b>										
Other Product Specific Charges	<p><b>International Trading:</b> Foreign exchange conversion charge per transaction of:</p> <table border="1"> <thead> <tr> <th>Value of Trade</th> <th>FX conversion charge</th> </tr> </thead> <tbody> <tr> <td>£0 - £24,999</td> <td>1.50%</td> </tr> <tr> <td>£25,000 - £49,999</td> <td>1.00%</td> </tr> <tr> <td>£50,000 - £99,999</td> <td>0.50%</td> </tr> <tr> <td>£100,000+</td> <td>0.35%</td> </tr> </tbody> </table>	Value of Trade	FX conversion charge	£0 - £24,999	1.50%	£25,000 - £49,999	1.00%	£50,000 - £99,999	0.50%	£100,000+	0.35%
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£0 - £24,999	1.50%										
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£50,000 - £99,999	0.50%										
£100,000+	0.35%										

### OTHER CHARGES

Transfer In of Investments from an Employee Share Scheme	£15 per investment for ISAs and SIPPs.
Transfer In of Investments held in your own name into an ISA, using the Share Exchange service	£40 per investment for ISAs and Junior ISAs
Transfer in of an Investment 'in specie' (without selling it first) as a contribution to a SIPP account, other than from a maturing Employee Share Scheme or another Registered Pensions Scheme	£500 + VAT per investment
Transfer Out to another provider	£60 + VAT for Dealing Accounts £100 + VAT for ISAs. £150 + VAT for Select and Child SIPPs

## OTHER CHARGES (ctd)

Transfer Out of an investment as a share certificate	£20 per investment
Transfer Out to an overseas pension scheme	£200 + VAT for Select and Child SIPPs
CHAPS payment	£20
Duplicate paper statement, valuation or tax certificate	£15 + VAT
Paper consolidated statements and valuations	£5 + VAT
Ad-hoc paper valuation	£30 + VAT
Third party confirmation	£30 + VAT
Valuation and processing death claim	£200 + VAT
Implementing sharing order upon divorce	£200 + VAT

## CURRENT PROMOTIONS / DISCOUNTS

### Loyalty Discounts for Online Trading:

Account Age (oldest account)	Discount	Discounted Online Charge
Less than 5 years	0%	£12.50
5-10 years	10%	£11.25
11-15 years	15%	£10.63
16-20 years	20%	£10.00
More than 20 years	25%	£9.38

### Advertising:

- Investment news and research
- Refer a friend and provided they trade within 120 days of opening an account you receive a £50 Marks & Spencer voucher and they receive a £25 voucher
- Current vacancies available at Alliance Trust Savings
- Latest issue of 'Taking Stock' available to download

## MOBILE FACILITES

None