

Execution Only Stockbroking Charges

Charges as at 11th June 2018

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DEALING COMMISSIONS

Online	Deals in previous month	Charge per deal	<ul style="list-style-type: none"> ✓ UK Equities ✓ International Equities ✓ Government Bonds ✓ Corporate Bonds ✓ PIBS ✓ Investment Trusts ✓ ETFs & ETCs
	0-9	£9.95	
	10 or more	£4.95	
International dealing incurs a further additional spread / foreign exchange charge of 1.00%			
Telephone	£29.95 per trade		
Collectives	Dealing commission of £1.50 (online) and £29.95 (phone). Annual Custody Charge:		
	Value of Fund Investments	Custody Fee	
	£0 - £250,000	0.25% p.a.	
	Next £750,000	0.1% p.a.	
	Next £1m	0.05%	
Balance over £2m	No charge		
Where paper application and pre-funding the cost is required (e.g. structured products)	£100 per trade		<ul style="list-style-type: none"> ✓ Warrants ✓ Covered Warrants
Regular Investment	£1.50 per deal		<ul style="list-style-type: none"> ✓ Unit Trusts, OEICs ✓ FTSE 350 Shares ✓ ETFs & ETCs ✓ Investment Trusts
Dividend Reinvestment	1% (min £1.50, max £9.95)		

FEES

Dealing Account, ISAs & Lifetime ISAs	Annual Custody Charge: 0.25% of value of shares, investment trusts, ETFs, gilts and bonds (max £7.50 per quarter). For fund investments the standard annual fund custody fee applies.
Junior ISAs	Annual Custody Charge: 0.25% of value of shares, investment trusts, ETFs, gilts and bonds (max £5 per quarter). For fund investments the annual custody charge is 0.25% (no max charge)
SIPPs	Annual Custody Charge: 0.25% of value of shares, investment trusts, ETFs, gilts and bonds (max £25 per quarter). For fund investments the standard annual fund custody fee applies.

OTHER CHARGES

Transfer Out of Investments	£25 per holding
CHAPS payment	£25 + VAT
Disinvestment, if they need to sell some of the holdings to cover charges	£29.95 per holding
Dividends or corporate action payments – foreign exchange charge	0.50%

CURRENT PROMOTIONS / DISCOUNTS

- Receive up to £500 towards transfer costs (£35 per line of stock for in specie transfers and up to £100 for exit fees) when transferring a SIPP, ISA or Dealing account of at least £20,000
- Open an account and access Shares magazine online for free – available to those with more than £4,000 invested with AJ Bell (usual charge is £220 per year for a subscription)
- Recommend a friend, and if they open a SIPP or ISA account with a minimum of £10,000 you receive £100
- AJ Bell Passive Funds and SIPPs
- Global Growth Fund makes investing for growth easy
- Deal in US and Canadian markets from 2:30 to 9:00pm

MOBILE FACILITES

Mobile dealing app for iPhone, iPad and Android devices (recently includes Amazon Alexa and Google assistant)

DEALING COMMISSIONS

Online	£9.99 per trade All funds are 100% clean and so do not pay a rebate. Initial charges are set at 0% in a number of cases. Typical AMC of 0.75%	<ul style="list-style-type: none"> ✓ UK Equities ✓ Investment Trusts ✓ ETFs ✓ Bonds ✓ Gilts ✓ Unit Trusts, OEICs
Telephone	£50 per trade All funds are 100% clean and so do not pay a rebate. Initial charges are set at 0% in a number of cases. Typical AMC of 0.75%	<ul style="list-style-type: none"> ✓ UK Equities ✓ International Equities ✓ Investment Trusts ✓ ETFs ✓ Bonds ✓ Gilts ✓ Unit Trusts, OEICs
Certificated	1% per trade (minimum £25)	<ul style="list-style-type: none"> ✓ UK Equities
Regular Investment	Postal Regular Dealing by Direct Debit = £5 per trade Online Monthly Dealing by Direct Debit = £1.50 per trade	<ul style="list-style-type: none"> ✓ UK Equities ✓ Investment Trusts ✓ ETFs ✓ Bonds ✓ Gilts ✓ Unit Trusts, OEICs
Dividend Reinvestment	£5 per transaction	

FEES

Dealing Account	<p>Monthly Administration Fee: £10 (includes 4 free online trades a year)</p> <p>Supplementary account charge: £375 (for cases where more work is involved in looking after an account, for example: where a client is a non-UK tax resident or their permanent address is not in the UK; where non-standard investments are held; where the account is held by a Corporate entity or Trust)</p>										
ISA	<p>Monthly Administration Fee: £10 (includes 4 free online trades a year)</p> <p>Supplementary account charge: £375 (for cases where more work is involved in looking after an account, for example: where a client is a non-UK tax resident or their permanent address is not in the UK; where non-standard investments are held; where the account is held by a Corporate entity or Trust)</p> <p>Void ISA: £100 + VAT</p> <p>Repair ISA: £100 + VAT</p>										
Junior ISA	Monthly Administration Fee: £3.33										
Select SIPP	<p>Monthly Administration Fee: £17.50 + VAT (rises to £23.75 + VAT per month once you start taking an income) (includes 4 free online trades a year)</p> <p>Supplementary account charge: £375 + VAT (for cases where more work is involved in looking after an account, for example: where a client is a non-UK tax resident or their permanent address is not in the UK; where non-standard investments are held; where the account is held by a Corporate entity or Trust)</p>										
Child SIPP	Monthly Administration Fee: £6.65 + VAT										
Other Product Specific Charges	<p>International Trading: Foreign exchange conversion charge per transaction of:</p> <table border="1"> <thead> <tr> <th>Value of Trade</th> <th>FX conversion charge</th> </tr> </thead> <tbody> <tr> <td>£0 - £24,999</td> <td>1.50%</td> </tr> <tr> <td>£25,000 - £49,999</td> <td>1.00%</td> </tr> <tr> <td>£50,00 - £99,999</td> <td>0.50%</td> </tr> <tr> <td>£100,000+</td> <td>0.35%</td> </tr> </tbody> </table>	Value of Trade	FX conversion charge	£0 - £24,999	1.50%	£25,000 - £49,999	1.00%	£50,00 - £99,999	0.50%	£100,000+	0.35%
Value of Trade	FX conversion charge										
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£50,00 - £99,999	0.50%										
£100,000+	0.35%										

OTHER CHARGES

Transfer In of Investments from an Employee Share Scheme	£15 per investment for ISAs and SIPPs.
Transfer in of any pension that contains safeguarded benefits in excess of £30,000 (excludes Guaranteed Annuity Rates (GARs)) such as defined benefit schemes (includes final salary and career average schemes).	£150 + VAT
Transfer Out to another provider	£60 + VAT for Dealing Accounts £100 + VAT for ISAs. £150 + VAT for Select and Child SIPPs
Transfer Out of an investment as a share certificate	£20 per investment
Transfer Out to an overseas pension scheme	£200 + VAT for Select and Child SIPPs
CHAPS payment	£20
Duplicate paper statement, valuation or tax certificate	£15 + VAT
Paper document charge	£25 + VAT
Ad-hoc paper valuation	£30 + VAT
Third party confirmation	£30 + VAT
Valuation and processing death claim	£200 + VAT
Implementing sharing order upon divorce	£200 + VAT

CURRENT PROMOTIONS / DISCOUNTS

Loyalty Discounts for Online and Telephone Trading:

Account Age (oldest account)	Discount	Discounted Online Charge	Discounted Telephone Charge
Less than 5 years	0%	£9.99	£50.00
5-10 years	10%	£8.99	£45.00
11-15 years	15%	£8.49	£42.50
16-20 years	20%	£7.99	£40.00
More than 20 years	25%	£7.49	£37.50

Pre-pay online trade bundle

Trade online at reduced commission charges by pre-paying for a trade bundle. This bundle is valid for six months and bundled trades do not qualify for the loyalty discounts (above).

Trades in bundle	Cost of bundle	Average cost per trade
10	£88.80	£8.88
25	£208.25	£8.33
50	£399.50	£7.99

Advertising:

- Refer a friend and provided they trade within 120 days of opening an account you receive a £50 Marks & Spencer voucher and they receive a £25 voucher
- Alliance Trust Savings is supporting Kickstart Money, delivering money skills to UK children
- Monthly investing at £1.50 per trade
- Taking Stock – Spring 2018 issue now available
- New MiFID II regulations coming into effect 3rd January 2018
- Save with pre-pay online trading bundles
- Protect your personal data: changes from the 25th of May give you more control

MOBILE FACILITES

None

DEALING COMMISSIONS

Online	£6 per trade	<ul style="list-style-type: none"> ✓ UK Equities ✓ International Equities ✓ Gilts ✓ Bonds ✓ Investment Trusts ✓ ETFs ✓ ETCs ✓ Structured Products ✓ Covered Warrants ✓ Turbos
Telephone	£25 per trade	
Collectives	£3 per trade (online), £25 per trade (telephone) 0.2% per annum customer fee	<ul style="list-style-type: none"> ✓ Unit Trust, OEICs
Dividend Reinvestment	Free	
Regular Investment	£1 per trade	<ul style="list-style-type: none"> ✓ All investments

FEES

Dealing Account & ISA	0.1% per annum on all non-fund investments (excluding cash) up to £200,000 with 0% on the balance over £200,000. Charged on a customer rather than account level. The fund customer fee is charged in addition (min £4 per month for all customer fees combined, max £125 per month)
SIPP	<p>Annual administration fee: £125 + VAT</p> <p>Transfer in: £75 per transfer (maximum charge of £375 per account irrespective of number of Transfers in)</p> <p>Transfer out: £75</p> <p>Closure charge: £75 Where the account has been opened for more than 12 months, or £250 within 12 months of opening</p> <p>All SIPP fees paid to AJ Bell</p>
Child SIPP	Annual administration fee: £67.50 + VAT

OTHER CHARGES

All Sundry Charges	No additional charges
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CURRENT PROMOTIONS / DISCOUNTS

<ul style="list-style-type: none"> - Barclays will pay up to £500 for exit charges incurred when transferring to Smart Investor - IPOs and new issue opportunities - Ready-made investments - Cost calculator - Self-service account management - Make the most of your new £20,000 ISA allowance with a Barclays Investment ISA

MOBILE FACILITIES

App for CFDs and Spreadbets for iPad, iPhone, Android, Android Tablet, Blackberry and Windows smartphone
